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PATENT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Altomare et al. Art Unit : 3624
Serial No. : 09/397,704 Examiner : Geoffrey R. Akers
Filed : September 16, 1999
Title : SYSTEM AND METHOD FOR ADMINISTERING PRINCIPAL PROTECTED
EQUITY LINKED FINANCIAL INSTRUMENTS

Mail Stop Amendment
Commissioner for Patents
P.O. Box: 1450
Alexandria, VA 22313-1450

RESPONSE AND AMENDMENT

This communication is in reply to the non-final Office action mailed September 22, 2004.

A. INTRODUCTORY COMMENTS

Reconsideration and allowance are requested in view of the following amendments and remarks. Upon entry of this Amendment, claims 1, 4, 6-8, 10-12, 14, 15, 25, 28-36, and 38-44 will be pending in this application with claims 1, 11, 25 and 28 being independent claims. Claim 9 has been canceled without prejudice or disclaimer. Support for the claims is found throughout the specification. No new matter has been added.